

Electronic Acknowledgement Receipt

EFS ID:	6824285
Application Number:	10666979
International Application Number:	
Confirmation Number:	8620
Title of Invention:	Method and system for transfer of employee stock options
First Named Inventor/Applicant Name:	Allen R. Friedman
Customer Number:	28221
Filer:	Daniel David Sierchio
Filer Authorized By:	
Attorney Docket Number:	36287-4402
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Payment was successfully received in RAM	\$ 180
RAM confirmation Number	8307
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Authorized User	

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File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Transmittal Letter	IDS_Transmittal.pdf	340276	no	3
			58338b2c95e0bade1e79b354991011e16d742a8d		
Warnings:					
Information:					
2	Information Disclosure Statement (IDS) Filed (SB/08)	IDS_Form.pdf	925813	no	6
			634c512306c3368b4845d30d30b5a8e78bce0ac757		
Warnings:					
Information:					
3	NPL Documents	Dictionary_of_Finance_and_Investment.pdf	106091	no	3
			729ba001870762b5c3c0f1b207f110846c4cde5e5c		
Warnings:					
Information:					
4	NPL Documents	Agenda_Items.pdf	649216	no	7
			593421738e27612766805cedf5ee76e1d794c8ba1		
Warnings:					
Information:					
5	NPL Documents	List_of_Documents.pdf	34033	no	2
			76d014631bdf9ebae5f5d5c8d36ec3a5ce4c86		
Warnings:					
Information:					
6	NPL Documents	Proposal_2002_OECD.pdf	649216	no	7
			593421738e27612766805cedf5ee76e1d794c8ba1		
Warnings:					
Information:					
7	NPL Documents	Employee_Stock_Options_Become_Tranferable.pdf	312754	no	5
			5537038ac76b84f534c013558d348ba705bae713		
Warnings:					
Information:					
8	NPL Documents	Center_Point_Energy.pdf	1942318	no	35
			6486708b3328543db64ac7184836bada96c714b45		
Warnings:					
Information:					

9	NPL Documents	Employee_Stock_Options_Paper_Advisory.pdf	700397 f1b4cc2651ed10095e67f8b05004f0c3c135a84e	no	15
Warnings:					
Information:					
10	NPL Documents	Securities_and_Exchange_Commission.pdf	2524750 517158b15b0c516d7c3c3b21163477b8058baa	no	74
Warnings:					
Information:					
11	NPL Documents	IRS_Stock_Based_Compensation_Audit.pdf	469287 8fbc694b2c5a9f8b0814c293ec23c13a35c253	no	6
Warnings:					
Information:					
12	NPL Documents	Transferable_Option_Developments.pdf	124068 b2a99e6402d24c18f6b64723b0c5c18d46430499	no	3
Warnings:					
Information:					
13	NPL Documents	Managing_Stock_Plan_Restructuring.pdf	5501549 2c57652169e90a45b0b85532e6d0c4870836781	no	24
Warnings:					
Information:					
14	NPL Documents	Microsoft_S3.pdf	3161562 1e488de51d842e439c998b09273ae227213ac1	no	35
Warnings:					
Information:					
15	NPL Documents	Microsoft_Registration_Agreement_Exhibit.pdf	4120576 6b743f926cc36a0bb1855a13029bdc355435a33a	no	34
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Information:					
16	NPL Documents	Employee_Stock_Options_EU.pdf	1984843 c174b417708f8a63da48f95caedf132e42e1979ec	no	18
Warnings:					
Information:					
17	NPL Documents	Stock_Option_Programs.pdf	155314 e1379ca353ca999436899f9f483237bc77aefdc06c8	no	3
Warnings:					
Information:					

18	NPL Documents	Taxation.pdf	166639 b122d4f0b5c5f11b6c9d877a76d3901057f2a	no	2
Warnings:					
Information:					
19	NPL Documents	Should_There_Exist_Secondary_Markets.pdf	114683 e1fa7ca09ba20517e15a0bdebe99c90c40e55f	no	2
Warnings:					
Information:					
20	NPL Documents	Managers_Motives_for_Selling.pdf	532764 63ade09057d4b0ba1ed17d2caca0328d081f5	no	36
Warnings:					
Information:					
21	NPL Documents	Evaluation_of_Executive_Stock_Options.pdf	6381561 ae5134168dbdb8c1318a209c777b751b17585da	no	189
Warnings:					
Information:					
22	Fee Worksheet (PTO-875)	fee-info.pdf	29862 b27ce68a16d4d4827dc5acbe7a31ba5dc5a1a	no	2
Warnings:					
Information:					
Total Files Size (in bytes):			30927572		

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New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.